



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED  
AUG 30 2001  
TECH CENTER 1600/2900

Application of: T. BARBERICH et al.

Application No.: 09/527,844

Group Art Unit: 1617

Filed: March 17, 2000

Examiner: M. Bahar

For: METHODS AND COMPOSITIONS FOR  
THE TREATMENT OF NEUROLEPTIC  
AND RELATED DISORDERS USING  
ZIPRASIDONE METABOLITES

Attorney Docket No.: 4821-334-999

**SUPPLEMENTAL INFORMATION DISCLOSURE**  
**STATEMENT UNDER 37 C.F.R. 1.97(b)(3)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to Applicants' duty of disclosure, enclosed is a copy of an International Search Report cited in connection with a corresponding foreign application and a copy of (1) reference for the Examiner's review and consideration. This reference is listed on the enclosed PTO Form-1449. Applicants request that the Examiner review the reference identified on the attached revised PTO Form 1449, and that it be made of record in the file of the above-identified application.

Identification of the foregoing reference is not to be construed as an admission of Applicants or Attorneys for Applicants that such references are available as "prior art" against the subject application. Consequently, Applicants respectfully decline to use form PTO-1449, since that form identifies all of the references cited therein as "Prior Art." As an alternative, Applicants submit herewith a "revised form PTO 1449" entitled "List of References Cited."

In accordance with 37 C.F.R. 1.97(e)(1), each item of information contained in this statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

No fee is believed to be due for this submission as it is being submitted prior to a first Office Action on the merits in this case. Should any fee be required, please charge such fee to Pennie & Edmonds LLP Deposit Account No. 16-1150.

Respectfully submitted,

Date: August 28, 2001

  
Max Bachrach 45,479  
(Reg. No.)

**PENNIE & EDMONDS LLP**  
1667 K Street, N.W.  
Washington, D.C. 20006  
(202) 496-4400

For: Anthony M. Insogna (Reg. No. 35,203)  
**PENNIE & EDMONDS LLP**  
1155 Avenue of the Americas  
New York, New York 10036-2711  
(212) 790-9090

Enclosures